

PL-TR-97-2009

# **SURFACE TOPOGRAPHY EFFECTS ON Lg WAVE PROPAGATION IN HETEROGENEOUS CRUSTAL WAVEGUIDES**

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**20 January 1997**

**Scientific Report No. 1**

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**DEPARTMENT OF ENERGY  
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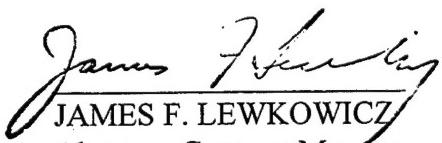
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# REPORT DOCUMENTATION PAGE

*Form Approved  
OMB No. 0704-0188*

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.

1. AGENCY USE ONLY (Leave blank)			2. REPORT DATE 20 January 1997		3. REPORT TYPE AND DATES COVERED Scientific Report No. 1		
4. TITLE AND SUBTITLE  Surface Topography Effects on Lg Wave Propagation in Heterogeneous Crustal Waveguides					5. FUNDING NUMBERS PE 69120H PR DENN TA GM WU AS  Contract F19628-95-K-0016		
6. AUTHOR(S) R. S. Wu                            X. B. Xie T. Lay                                X. F. Chen							
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) University of California/Santa Cruz Institute of Tectonics Santa Cruz, CA 95064					8. PERFORMING ORGANIZATION REPORT NUMBER		
9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES) Phillips Laboratory 29 Randolph Road Hanscom AFB, MA 01731-3010					10. SPONSORING / MONITORING AGENCY REPORT NUMBER PL-TR-97-2009		
Contract Manager: James Lewkowicz/GPE							
11. SUPPLEMENTARY NOTES This research was sponsored by the Department of Energy, Office of Non-Proliferation and National Security, Washington, DC 20585							
12a. DISTRIBUTION / AVAILABILITY STATEMENT Approved for public release; distribution unlimited					12b. DISTRIBUTION CODE		
13. ABSTRACT (Maximum 200 words)  The object of this research is to study the effects of surface topography, near-surface (sedimentary) structure and the associated small-scale heterogeneities on regional wave propagation which is critical for both discrimination and yield estimation in monitoring a Comprehensive Test Ban Treaty and the current Nuclear Non-Proliferation Treaty. We aim at developing a hybrid method which couples the recently developed fast screen propagator theory and methods (Wu, 1994; Wu and Xie, 1994; Wu and Huang, 1995) with a modified Boundary Integral Equation (BIE) method to treat the influences of both volume heterogeneities and irregular interfaces, including the influence of surface topography. We adopt Chen's Global Generalized Reflection/Transmission Matrix (GGRTM) method as the major element in our hybrid method. As the first step, we test both the generalized screen one-way wave method and the GGRTM method, and develop the connection of the two algorithms for the two-dimensional SH case. Chen's theory has been modified for this purpose and the connection formulas have been derived and numerically tested. The excellent agreement between seismograms for direct propagation and propagation using the connection formulas proves the correctness of the theory and the feasibility of the methodology.							
14. SUBJECT TERMS Seismic                              Wave Propagation                            Lg Wave CTBT                                Generalized Screen Method					15. NUMBER OF PAGES 36		
					16. PRICE CODE		
17. SECURITY CLASSIFICATION OF REPORT Unclassified		18. SECURITY CLASSIFICATION OF THIS PAGE Unclassified		19. SECURITY CLASSIFICATION OF ABSTRACT Unclassified		20. LIMITATION OF ABSTRACT SAR	

# Contents

<b>1</b>	<b>Introduction</b>	<b>1</b>
<b>2</b>	<b>Generalized Screen Method</b>	<b>3</b>
2.1	Wide-angle Screen Approximation . . . . .	4
2.2	Small Angle Screen Approximation and the Phase-Screen Propagator . . . . .	6
2.3	Treatment of the Moho Discontinuity . . . . .	6
<b>3</b>	<b>Global Generalized Reflection Transmission Matrix Method</b>	<b>7</b>
3.1	Connection Formulation . . . . .	8
3.2	Algorithm of Computing Synthetic Lg Waves . . . . .	8
<b>4</b>	<b>Numerical Simulations</b>	<b>14</b>
4.1	Numerical Simulations for Screen Method . . . . .	14
4.2	Numerical Test for BIE Method . . . . .	23
<b>5</b>	<b>Conclusion and Discussions</b>	<b>23</b>

# 1 Introduction

The study of path effects of complex structure and heterogeneities on the excitation and propagation of regional phases in different areas remains critical for both discrimination and yield estimation procedures for monitoring the CTBT. The problem will be more severe in the case of Non-Proliferation monitoring, in which the potential nuclear tests may occur in very different geological and geophysical environments. Today, regional waves are one of the most important indicators for monitoring purpose. Due to the complexity involved in the regional phase propagation, synthetic simulation will play an important role in areas that lack enough data to rely on empirical methods. To meet these requirements, the ultimate goal is to develop a computationally viable technique for calculating high-frequency (1 - 25 Hz) synthetic seismograms in regional distance ( $> 1000$  km) for three dimensional, heterogeneous (on large and small scales) crustal structures including rough surface and interfaces.

In the past, boundary integral equation (BIE) or boundary element (BE) methods have been extensively used to study the effects of topography or sedimentary basin structures on ground motions at the surface. BE has been also used to study the Lg blockage problem with limited success. Blockage is assumed to be caused by coastlines, mountains and sudden change of crustal thickness. However, two-dimensional numerical simulations of blockage by large-scale crustal structures have not succeeded in matching the observations (Campillo et al., 1993; Gibson and Campillo, 1994). Most simulations are either for surface topography or for irregular structure beneath a flat surface (sedimentary layer) due to the restriction of computational complexity. However, the combination of both surface-topography and sedimentary structure may have more dramatic influence. An irregular surface and low-velocity layer can both trap part of the Lg energy into the surface layer and scatter the Lg wave out of the crustal waveguide. Existing methods are also not capable of simulating the combined effects of both large-scale structure and the associated small-scale heterogeneities. Irregular topography and near-surface structure are the manifestation of past and/or present tectonic processes which often produce crustal heterogeneities at different scales. The effects of the small-scale (wavelength-scale) heterogeneities must be taken into consideration in modeling blockage and other Lg propagation, scattering and attenuation phenomena.

We are developing a new hybrid numerical method by combining the generalized screen method with the boundary integral equation method. The generalized screen method can handle wave propagation in heterogeneous waveguide with modest topography. The method is based on one-way wave equation theory (Wu, 1994; 1996; Wu and Xie, 1994; Wu and Huang, 1995). In the crustal waveguide environment, major wave energy is carried by forward propagating waves, including forward scattered waves, and therefore the neglect of backscattered waves in the modeling will not change the main features of regional phases in most cases. By neglecting backscattering in the theory, the method becomes a forward marching algorithm in which the next step propagation depends only on the present value of wavefield in a transverse cross-section and the heterogeneities between the two cross-sections. The saving of computing time and storage is enormous. This makes it a very efficient method and can propagate high frequency regional signals to very long distances.

Modest surface topography can be modeled by coordinate transformation in the generalized screen method. The algorithm for handling the topography is still in the process of development. On the other hand, the boundary integral equation method has the flexibility to incorporate complex topographic features into the model. However, since matrix operations are involved, the boundary integral equation method is not efficient. When the ratio of model dimension to wavelength is too large, the computation time and memory requirement become formidable. This problem can be circumvented through a hybrid method. The hybrid method will combine the advantages of the above mentioned two methods and avoid their disadvantages. The Lg phases generated by the source are propagated to a certain distance with the generalized screen method. Then, the output will be used as the input to the boundary integral equation method, and the later is used to calculate the interaction between Lg wave and the complex waveguide structure with rough topographic features. This approach provide us a possibility to investigate the interaction between Lg wave and crustal waveguides having complicated structures including severe topography for long distance propagation.

As the first year's effort, the screen method has been successfully developed for a crustal waveguide for 2-D SH-wave propagation. The boundary integral equation method has been tested and connected to a laterally homogeneous crust model for 2-D SH-wave propagation. Numerical examples showed the feasibility of this approach. The next year's work will be to put

the topographic features into the hybrid method, and test the method for some realistic models, such as those for paths across the Tibet Plateau.

## 2 Generalized Screen Method

For an isotropic 2D elastic medium, the SH and the P-SV waves are decoupled. Here we treat only the SH problem to demonstrate the applicability of the screen propagators to crustal waveguide. Under such a circumstance, the equation of motion becomes

$$-\omega^2 \rho(\mathbf{r}) u(\mathbf{r}) = \frac{\partial}{\partial x} [\mu(\mathbf{r}) \frac{\partial}{\partial x} u] + \frac{\partial}{\partial z} [\mu(\mathbf{r}) \frac{\partial}{\partial z} u] \quad (1)$$

where  $\omega$  is the frequency,  $\mathbf{r} = (x, z)$  is a 2D position vector,  $u$  is transverse displacement,  $\rho$  is the density of the medium, and  $\mu$  is the shear rigidity. We decompose the parameters of the elastic medium and the total wave field into

$$\begin{aligned} \rho &= \rho_0 + \delta\rho \\ \mu &= \mu_0 + \delta\mu \\ u &= u^0 + U \end{aligned} \quad (2)$$

where  $\rho_0$  and  $\mu_0$  are parameters of the background medium,  $\delta\rho$  and  $\delta\mu$  are corresponding perturbations,  $u^0$  is the primary field and  $U$  is the scattered field. Then, the SH wave equation can be rewritten as

$$\mu_0 \nabla^2 U + \omega^2 \rho_0 U = -[\omega^2 \delta\rho u + \nabla \cdot \delta\mu \nabla u], \quad (3)$$

or

$$(\nabla^2 + k^2)U(\mathbf{r}) = -k^2 F(\mathbf{r})u(\mathbf{r}), \quad (4)$$

where  $k = \omega/v$  is the wavenumber in the background medium and  $v$  is the background S wave velocity defined by

$$v = \sqrt{\mu_0/\rho_0} \quad (5)$$

In the right-hand side of (4),  $F(\mathbf{r})$  is a perturbation operator

$$F(\mathbf{r}) = \varepsilon_\rho(\mathbf{r}) + \frac{1}{k^2} \nabla \cdot \varepsilon_\mu \nabla, \quad (6)$$

with

$$\varepsilon_\rho(\mathbf{r}) = \frac{\delta\rho(\mathbf{r})}{\rho_0}, \quad (7)$$

$$\varepsilon_\mu(\mathbf{r}) = \frac{\delta\mu(\mathbf{r})}{\mu_0}. \quad (8)$$

Equation (4) is a scalar Helmholtz equation. With a half-space scalar Green's function  $g^h$ , the scattered field  $U$  can be written as

$$U(\mathbf{r}_1) = k^2 \int_V d^2\mathbf{r} g^h(\mathbf{r}_1; \mathbf{r}) F(\mathbf{r}) u(\mathbf{r}), \quad (9)$$

where the 2D integration is over the volume  $V$  including all the heterogeneities in the modeling space. Under the forward-scattering approximation, the total field and Green's function under the integration in above equation can be replaced by their forward-scattering approximated counterparts, and the field can be calculated by a one-way marching algorithm along the x-direction using a dual domain technique.

## 2.1 Wide-angle Screen Approximation

The half-space model can be sliced into thin-slabs perpendicular to the propagation direction. Weak scattering condition holds for each thin-slab. For each forward step, the forward-scattered field by the thin-slab is calculated and added to the primary field so that the updated field becomes the incident field for the next thin-slab. The formulas of the dual-domain implementation are summarized as follows:

$$U(x_1, K_z) = U_\rho(x_1, K_z) + U_\mu(x_1, K_z) \quad (10)$$

where

$$U_\rho(x_1, K_z) = ik \int_{x'}^{x_1} dx e^{i\gamma(x_1-x)} \mathcal{C}\left[\frac{k}{\gamma} \varepsilon_\rho(z) u_0(z)\right] \quad (11)$$

$$U_\mu(x_1, K_z) = ik \int_{x'}^{x_1} dx e^{i\gamma(x_1-x)} \left\{ \mathcal{C}[\varepsilon_\mu(z) \bar{\partial}_x u_0(z)] - i\mathcal{S}\left[\frac{K_z}{\gamma} \varepsilon_\mu(z) \bar{\partial}_z u_0(z)\right] \right\} \quad (12)$$

where  $\mathcal{C}[f(z)]$  and  $\mathcal{S}[f(z)]$  are the cosine and sine transforms, defined by

$$\begin{aligned}\mathcal{C}[f(z)] &= \int_0^\infty dz 2 \cos(K_z z) f(z) \\ \mathcal{S}[f(z)] &= \int_0^\infty dz 2 \sin(K_z z) f(z)\end{aligned}\quad (13)$$

In Eq. (11) and (12),  $u_0$ ,  $\bar{\partial}_x u_0$  and  $\bar{\partial}_z u_0$  can be calculated by

$$\begin{aligned}u_0(x, z) &= \frac{1}{2\pi} \int_{-\infty}^{\infty} dK'_z e^{iK'_z z} e^{i\gamma'(x-x')} u_0(x', K'_z) \\ &= \mathcal{C}^{-1}[e^{i\gamma'(x-x')} u_0(x', K'_z)]\end{aligned}\quad (14)$$

and

$$\begin{aligned}\bar{\partial}_x u_0(x, z) &= \mathcal{C}^{-1}[e^{i\gamma'(x-x')} \frac{\gamma'}{k} u_0(x', K'_z)] \\ \bar{\partial}_z u_0(x, z) &= i\mathcal{S}^{-1}[e^{i\gamma'(x-x')} \frac{K'_z}{k} u_0(x', K'_z)]\end{aligned}\quad (15)$$

Eq. (11), (12), (14) and (15) are the dual-domain expressions of the wide-angle screen propagator for half-space SH problems.

The procedure can be summarized as follows.

1. Cosine transform the incident fields at the entrance of each thin-slab into wavenumber domain.
2. Free propagate in wavenumber domain and calculate the primary field and its gradient within the slab.
3. At each horizontal position within the slab, inverse cosine/sine transform the primary field and its gradients into space domain and, then interact with the medium perturbations  $\epsilon_\rho$  and  $\epsilon_\mu$ .
4. Cosine/sine transform the distorted fields into wavenumber domain and perform the divergence operations to get the scattered fields
5. Calculate the primary field at the slab exit and add to the scattered field to form the total field as the incident field at the entrance of the next thin-slab.
6. Continue the procedure iteratively.

## 2.2 Small Angle Screen Approximation and the Phase-Screen Propagator

When the energy of crustal guided waves are carried mainly by small-angle waves (with respect to the horizontal direction), the small angle approximations can be invoked to simplify the theory and calculations. Under the phase-screen approximation, the heterogeneous half-space is represented by a series of half-screens embedded in the homogeneous background half-space. The wave propagates between screens in the wavenumber domain and interacts with phase-screens in the space domain. The interaction is only a phase-delay operator (multiplication in space domain). The formula for dual-domain implementation is

$$\begin{aligned} u(x_1, K_z) &= u_0(x_1, K_z) + U(x_1, K_z) \\ &= e^{i\gamma(x_1-x')} \int_0^\infty dz 2 \cos(K_z z) [1 + ik2S_s(z)] u_0(x', z) \\ &\approx e^{i\gamma(x_1-x')} \mathcal{C} [e^{2ikS_s(z)} u_0(x', z)] \end{aligned} \quad (16)$$

where  $\exp[2ikS_s(z)]$  is the phase delay operator. The procedure can be summarized as follows.

1. Cosine transform the incident field at the starting plane into wavenumber domain and free propagate to the screen.
2. Inverse cosine transform the incident field into space domain and interact with the shear slowness screen (phase-screen) to get the transmitted field.
3. Cosine transform the transmitted field into wavenumber domain and free propagate to the next screen.
4. Repeat the propagation and interaction screen-by-screen to the boundary of the model space.

## 2.3 Treatment of the Moho Discontinuity

The Moho discontinuity can be treated in two ways. One is to put the impedance boundary conditions in the formulation, the other is to treat the parameter changes as perturbations and therefore be incorporated into the screen interaction. The former has the advantage of computational efficiency. The latter has the flexibility of handling irregular interfaces. Here, we adopt

the latter approach and check the validity of the perturbation approach for the Moho discontinuity by a reflectivity method and a finite difference algorithm.

### 3 Global Generalized Reflection Transmission Matrix Method

The discretization of BIE can be done by integration of the Green's function either in space domain (e.g. Sanches-Sesma and Campillo, 1991), or in wavenumber domain using the discrete wave number representation (Bouchon, 1985; Campillo and Bouchon, 1985; Chen, 1990, 1995, 1996). In the latter approach, the singularity problem of the Green's function is avoided by using truncated series. The wavenumber domain BIE has another advantage that it can be easily extended to the case of multilayered media with irregular interfaces. In Bouchon et al. (1989), propagator matrices are used to relate equivalent force distributions on neighboring interfaces. Chen (1990, 1995, 1996) related the fields at neighboring layers by global reflection/transmission coefficients and then derived the global generalized R/T coefficients to relate observations and sources. In these methods, the dimensionality of the linear system to solve are independent of the number of layers involved. The computation time increases only linearly with the number of interfaces. For this reason, we adopt Chen's GGRTM (Global Generalized Reflection/Transmission Matrix) method as the candidate in our hybrid method.

The GGRTM can be viewed as an extension of the reflectivity method for horizontally layered case to an irregularly layered case, and it has been demonstrated to be an accurate and effective method to simulate seismic waves in laterally varying layered media (Chen, 1991, 1995, 1996). For example, for the scattering problem due to a semi-circular canyon (shown in Figure 1), GGRTM can provide very accurate results. Figures 2 and 3 show the comparisons of the results (solid lines) computed by GGRTM with the analytical solutions of Trifunac (dotted lines) for various normalized frequencies, showing excellent agreement between them. It is known that in this semi-circular canyon model, there are two sharp edges. Many other methods, e.g., Aki-Larner method, T-matrix method and other high-frequency

asymptotic methods, fail to provide correct solutions.

### 3.1 Connection Formulation

Assume domain II is the model space we are interested in and the field in domain I is easy to calculate by other less expensive methods. According to the representation theorem, wave-fields inside domain II can be expressed as

$$u^{II}(\mathbf{x}, \omega) = \int_0^{+\infty} \left\{ \tau^I(\mathbf{x}') + u^I(\mathbf{x}') \mu(z') \frac{\partial}{\partial x'} \right\} G^{II}(\mathbf{x}, \mathbf{x}') dz' \quad (17)$$

Where  $u^I$  and  $\tau^I$  are the displacement and traction fields on the vertical boundary surface dividing domain I and II, and can be calculated using methods valid in domain I,  $\mu$  is the shear rigidity, and  $G^{II}$  is the Green's function in domain II which will be calculated by GGRTM.

### 3.2 Algorithm of Computing Synthetic Lg Waves

Having the connection formulation, we can use GGRTM to compute synthetic Lg waves. The step-by-step procedure of applying GGRTM to computing a synthetic seismogram in a general irregularly layered medium can be summarized as follows.

#### Step 1

Calculate the interface matrices for each interface,  $\mathbf{Q}_{\downarrow\uparrow}^{(j)}$ ,  $\mathbf{Q}_{\uparrow\downarrow}^{(j)}$ ,  $\mathbf{Q}_{\uparrow\uparrow}^{(j)}$ ,  $\mathbf{Q}_{\downarrow\downarrow}^{(j)}$ ,  $\mathbf{P}_{\uparrow\uparrow}^{(j)}$ ,  $\mathbf{P}_{\downarrow\downarrow}^{(j)}$ ,  $\mathbf{P}_{\uparrow\downarrow}^{(j)}$ ,  $\mathbf{P}_{\downarrow\uparrow}^{(j)}$ ; for  $j=1, 2, \dots, N$ , by carrying out the integrals over each interface. These interface matrices contain the structural information of the media and are defined as (Chen, 1990)

$$\left( \mathbf{Q}_{\downarrow\uparrow}^{(j)} \right)_n = \frac{-1}{2\nu_n^{(j)} L} \int_{-L/2}^{L/2} \left\{ \dot{\xi}^{(j-1)}(x) k_n + \nu_n^{(j)} \right\} \exp[i\Xi_{\downarrow\uparrow}^{(j)}(x, n, m)] dx , \quad (18)$$

$$\left( \mathbf{Q}_{\downarrow\downarrow}^{(j)} \right)_n = \frac{-1}{2\nu_n^{(j)} L} \int_{-L/2}^{L/2} \left\{ \dot{\xi}^{(j-1)}(x) k_n - \nu_n^{(j)} \right\} \exp[i\Xi_{\downarrow\downarrow}^{(j)}(x, n, m)] dx , \quad (19)$$

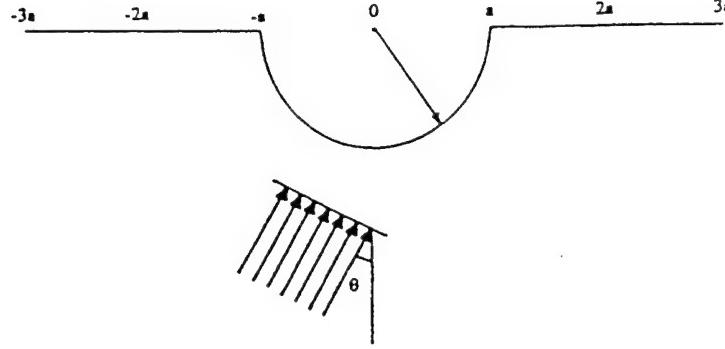


Figure 1: The configuration of the scattering problem due to a semi-circular canyon and an incident plane wave, where  $a$  is the radius of the canyon, and  $\theta$  is the angle of incident wave.

$$\left(\mathbf{Q}_{\uparrow\downarrow}^{(j)}\right)_n = \frac{-1}{2\nu_n^{(j)}L} \int_{-L/2}^{L/2} \left\{ \dot{\xi}^{(j)}(x)k_n - \nu_n^{(j)} \right\} \exp[i\Xi_{\uparrow\downarrow}^{(j)}(x, n, m)] dx , \quad (20)$$

$$\left(\mathbf{Q}_{\uparrow\uparrow}^{(j)}\right)_n = \frac{-1}{2\nu_n^{(j)}L} \int_{-L/2}^{L/2} \left\{ \dot{\xi}^{(j)}(x)k_n + \nu_n^{(j)} \right\} \exp[i\Xi_{\uparrow\uparrow}^{(j)}(x, n, m)] dx , \quad (21)$$

$$\left(\mathbf{P}_{\downarrow\uparrow}^{(j)}\right)_n = \frac{-\nu_m^{(j)}}{2\nu_n^{(j)}L} \int_{-L/2}^{L/2} \left\{ 1 + [\dot{\xi}^{(j-1)}(x)]^2 \right\}^{1/2} \exp[i\Xi_{\downarrow\uparrow}^{(j)}(x, n, m)] dx , \quad (22)$$

$$\left(\mathbf{P}_{\downarrow\downarrow}^{(j)}\right)_n = \frac{-\nu_m^{(j)}}{2\nu_n^{(j)}L} \int_{-L/2}^{L/2} \left\{ 1 + [\dot{\xi}^{(j-1)}(x)]^2 \right\}^{1/2} \exp[i\Xi_{\downarrow\downarrow}^{(j)}(x, n, m)] dx , \quad (23)$$

$$\left(\mathbf{P}_{\uparrow\downarrow}^{(j)}\right)_n = \frac{-\mu^{(j+1)}\nu_m^{(j+1)}}{2\mu^{(j)}\nu_n^{(j)}L} \int_{-L/2}^{L/2} \left\{ 1 + [\dot{\xi}^{(j)}(x)]^2 \right\}^{1/2} \exp[i\Xi_{\uparrow\downarrow}^{(j)}(x, n, m)] dx , \quad (24)$$

and

$$\left(\mathbf{P}_{\uparrow\uparrow}^{(j)}\right)_n = \frac{-\mu^{(j+1)}\nu_m^{(j+1)}}{2\mu^{(j)}\nu_n^{(j)}L} \int_{-L/2}^{L/2} \left\{ 1 + [\dot{\xi}^{(j)}(x)]^2 \right\}^{1/2} \exp[i\Xi_{\uparrow\uparrow}^{(j)}(x, n, m)] dx , \quad (25)$$

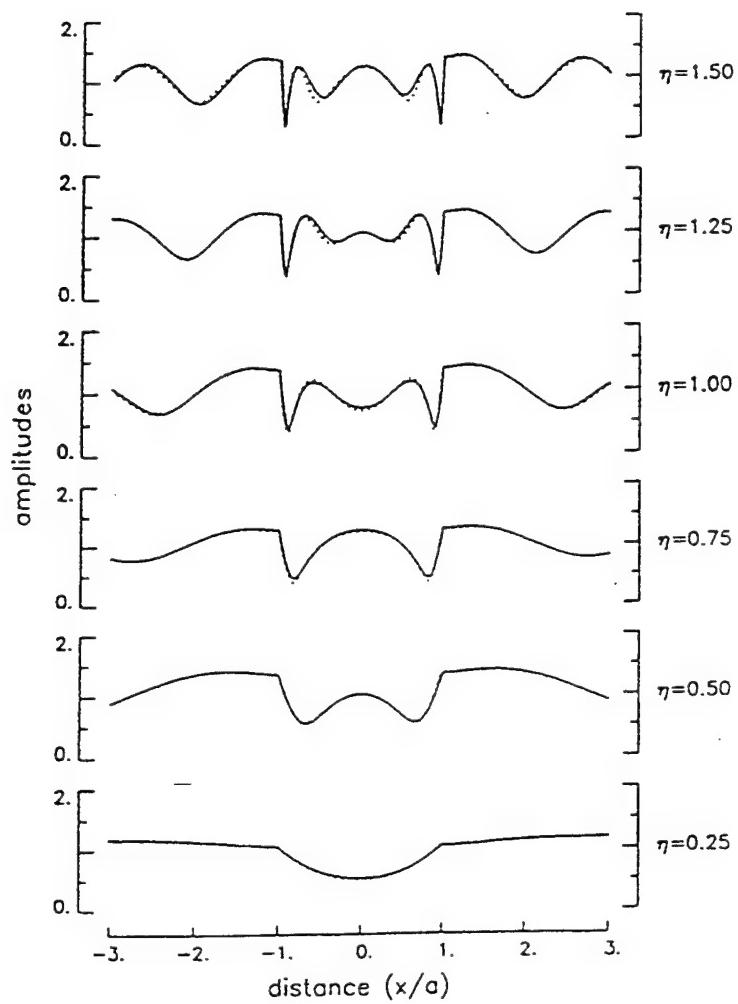


Figure 2: The frequency responses of a semi-circular canyon to vertical incident SH-wave for various normalized frequencies. The solid lines denote our results and the dotted lines denote the exact solutions.

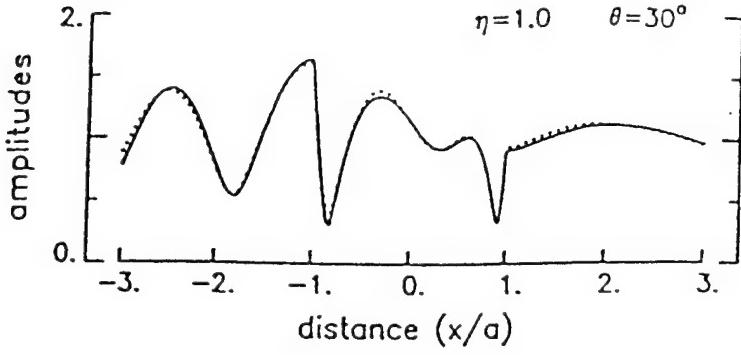


Figure 3: The same response as Figure 2, except that the incident angle is  $30^\circ$ .

where  $\xi^{(j)}(x)$  is the height of the topography for the  $j$ th interface, and

$$k_n = 2\pi n L \nu_n^{(j)} = \sqrt{(\omega\beta^{(j)})^2 - (k_n)^2}, \text{ and } \operatorname{Im}\{\nu_n^{(j)}\} \geq 0,$$

$$\Xi_{\uparrow\uparrow}^{(j)}(x, n, m) = (k_m - k_n)x + \nu_n^{(j)}[\xi^{(j)}(x) - \xi_{\min}^{(j)}] + \nu_m^{(j+1)}|\Delta\xi^{(j)}(x)|,$$

$$\Xi_{\downarrow\uparrow}^{(j)}(x, n, m) = (k_m - k_n)x + \nu_n^{(j)}[\xi^{(j-1)}(x) - \xi_{\min}^{(j-1)}] + \nu_m^{(j)}|\Delta\xi^{(j-1)}(x)|,$$

and

$$\Xi_{\downarrow\downarrow}^{(j)}(x, n, m) = (k_m - k_n)x - \nu_n^{(j)}[\xi^{(j-1)}(x) - \xi_{\max}^{(j-1)}] + \nu_m^{(j)}|\Delta\xi^{(j-1)}(x)|;$$

for  $j = 1, 2, \dots, N$ .

Where  $\Delta\xi^{(j)}(x) = \xi^{(j)}(x) - z^{(j)}$ .

#### Step 2

Calculate the global modified reflection and/or transmission matrices,  $\{\mathbf{R}_{\downarrow\uparrow}^{(j)} \mathbf{T}_{\uparrow\uparrow}^{(j)} \mathbf{T}_{\downarrow\downarrow}^{(j)} \mathbf{R}_{\uparrow\downarrow}^{(j)}\}$ , from the interface matrices using the following formulas:

$$\begin{bmatrix} \mathbf{R}_{\downarrow\uparrow}^{(j)} & \mathbf{T}_{\uparrow\uparrow}^{(j)} \\ \mathbf{T}_{\downarrow\downarrow}^{(j)} & \mathbf{R}_{\uparrow\downarrow}^{(j)} \end{bmatrix} = \begin{bmatrix} \mathbf{Q}_{\uparrow\uparrow}^{(j)} & \mathbf{P}_{\uparrow\uparrow}^{(j)} \\ -\mathbf{Q}_{\downarrow\downarrow}^{(j+1)} & -\mathbf{P}_{\downarrow\downarrow}^{(j+1)} \end{bmatrix} \begin{bmatrix} -\mathbf{Q}_{\uparrow\downarrow}^{(j)} & -\mathbf{P}_{\uparrow\downarrow}^{(j)} \\ \mathbf{Q}_{\downarrow\uparrow}^{(j+1)} & \mathbf{P}_{\downarrow\uparrow}^{(j+1)} \end{bmatrix}^{-1} \begin{bmatrix} \mathbf{E}_{\max}^{(j)} & \mathbf{E}_{\min}^{(j)} \\ \mathbf{E}_{\min}^{(j+1)} & \mathbf{E}_{\max}^{(j+1)} \end{bmatrix}, \quad (26)$$

and

$$\mathbf{R}_{\uparrow\downarrow}^{(o)} = -\mathbf{Q}_{\downarrow\downarrow}^{(1)} \left(\mathbf{Q}_{\downarrow\uparrow}^{(1)}\right)^{-1} \mathbf{E}_{\min}^{(1)}, \quad (27)$$

Where  $\mathbf{E}_{\min}^{(j)}$  and  $\mathbf{E}_{\max}^{(j)}$  are diagonal matrices given by

$$\mathbf{E}_{\min}^{(j)} = \text{diagonal} \left\{ \exp[i v_n^{(j)} (\xi_{\min}^{(j)} - \xi_{\min}^{(j-1)})]; n = 0, \pm 1, \pm 2, \dots \right\},$$

and

$$\mathbf{E}_{\max}^{(j)} = \text{diagonal} \left\{ \exp[i v_n^{(j)} (\xi_{\max}^{(j)} - \xi_{\max}^{(j-1)})]; n = 0, \pm 1, \pm 2, \dots \right\}.$$

These global modified reflection and/or transmission matrices describe the reflection and/or transmission effects due to single interface regardless of the influences from other existing interfaces.

### Step 3

Compute the global generalized reflection and/or transmission matrices,  $\hat{\mathbf{T}}_{\uparrow\uparrow}^{(j)}$ ,  $\hat{\mathbf{R}}_{\downarrow\uparrow}^{(j)}$ ,  $\hat{\mathbf{T}}_{\downarrow\downarrow}^{(j)}$ , and  $\hat{\mathbf{R}}_{\uparrow\downarrow}^{(j)}$ , from the global modified reflection and/or transmission matrices through following recursive formulas:

$$\begin{aligned} \hat{\mathbf{R}}_{\downarrow\uparrow}^{(o)} &= \mathbf{R}_{\downarrow\uparrow}^{(o)} \\ \hat{\mathbf{T}}_{\uparrow\uparrow}^{(j)} &= [\mathbf{I} - \hat{\mathbf{R}}_{\downarrow\uparrow}^{(j)} \hat{\mathbf{R}}_{\uparrow\downarrow}^{(j-1)}]^{-1} \mathbf{T}_{\uparrow\uparrow}^{(j)}, \quad \text{for } j = 1, 2, \dots, N; \\ \hat{\mathbf{R}}_{\uparrow\downarrow}^{(j)} &= \mathbf{R}_{\uparrow\downarrow}^{(j)} + \mathbf{T}_{\downarrow\downarrow}^{(j)} \hat{\mathbf{R}}_{\downarrow\uparrow}^{(j-1)} \hat{\mathbf{T}}_{\uparrow\uparrow}^{(j)} \end{aligned} \quad (28)$$

and

$$\begin{aligned} \hat{\mathbf{R}}_{\downarrow\uparrow}^{(N+1)} &= 0 \\ \hat{\mathbf{T}}_{\downarrow\downarrow}^{(j)} &= [\mathbf{I} - \hat{\mathbf{R}}_{\uparrow\downarrow}^{(j)} \hat{\mathbf{R}}_{\downarrow\uparrow}^{(j+1)}]^{-1} \mathbf{T}_{\downarrow\downarrow}^{(j)}, \quad \text{for } j = N, N-1, \dots, 2, 1. \\ \hat{\mathbf{R}}_{\uparrow\downarrow}^{(j)} &= \mathbf{R}_{\uparrow\downarrow}^{(j)} + \mathbf{T}_{\uparrow\uparrow}^{(j)} \hat{\mathbf{R}}_{\downarrow\uparrow}^{(j+1)} \hat{\mathbf{T}}_{\downarrow\downarrow}^{(j)} \end{aligned} \quad (29)$$

These global generalized reflection and/or transmission matrices represent the total reflection and/or transmissions due to the multi-irregular layers.

#### Step 4

Compute the expansion coefficients of displacement spectra at free surface,

$$z = \xi^{(0)}(x),$$

by using the formula

$$\underline{\alpha}^{(o)} = \left( \mathbf{Q}_{\downarrow\downarrow}^{(1)} \right)^{-1} \mathbf{E}_{\min}^{(1)} \left\{ \hat{\mathbf{s}}_{\uparrow}^{(1)} + \hat{\mathbf{T}}_{\uparrow\uparrow}^{(1)} \hat{\mathbf{s}}_{\uparrow}^{(2)} + \hat{\mathbf{T}}_{\uparrow\uparrow}^{(1)} \hat{\mathbf{T}}_{\uparrow\uparrow}^{(2)} \hat{\mathbf{s}}_{\uparrow}^{(3)} + \dots + \hat{\mathbf{T}}_{\uparrow\uparrow}^{(1)} \hat{\mathbf{T}}_{\uparrow\uparrow}^{(2)} \dots \hat{\mathbf{T}}_{\uparrow\uparrow}^{(N)} \hat{\mathbf{s}}_{\uparrow}^{(N+1)} \right\}, \quad (30)$$

where  $\hat{\mathbf{s}}_{\uparrow}^{(j)}$  is the equivalent source term for the jth layer derived by the representation theorem and

$$\hat{\mathbf{s}}_{\uparrow}^{(j)} = \left\{ \mathbf{I} - \hat{\mathbf{R}}_{\uparrow\downarrow}^{(j-1)} \hat{\mathbf{R}}_{\downarrow\uparrow}^{(j)} \right\}^{-1} \left( \mathbf{s}_{\uparrow}^{(j)} + \hat{\mathbf{R}}_{\uparrow\downarrow}^{(j)} \mathbf{s}_{\downarrow}^{(j)} \right), \quad (31)$$

$$\left( \mathbf{s}_{\uparrow}^{(j)} \right)_n = \frac{i}{2\nu_n^{(s)} L} \int_{-L/2}^{L/2} dx \int_{\xi^{(j-1)}(x)}^{\xi^{(j)}(x)} f^{(j)}(x, z) \exp[-ik_n x + i\nu_n^{(j)}(z - \xi_{\min}^{(j)})] dz, \quad (32)$$

$$\left( \mathbf{s}_{\downarrow}^{(j)} \right)_n = \frac{i}{2\nu_n^{(j)} L} \int_{-L/2}^{L/2} dx \int_{\xi^{(j-1)}(x)}^{\xi^{(j)}(x)} f^{(j)}(x, z) \exp[-ik_n x - i\nu_n^{(j)}(z - \xi_{\max}^{(j-1)})] dz, \quad (33)$$

for  $j = 1, 2, \dots, N, N+1$ ;

and

$$f^{(j)}(x, z) = \left\{ \tau^{(j)}(x, z) + \mu^{(j)} u^{(j)}(x, z) \frac{\partial}{\partial x} \right\}. \quad (34)$$

#### Step 5

Calculate the displacement spectra at the free surface by using the following formula:

$$W^{(o)}[x, \xi^{(o)}(x), \omega] = \sum_{m=-M}^M \alpha_m^{(o)} \exp \left\{ ik_m + i\nu_m^{(1)} |\Delta \xi^{(o)}(x)| \right\}. \quad (35)$$

Taking the Fourier transform on the above frequency domain solution, we can finally obtain the time domain solution, i.e., the synthetic seismogram.

## 4 Numerical Simulations

### 4.1 Numerical Simulations for Screen Method

In this section, we give examples of using the half-space phase-screen algorithm for regional wave propagation. First, in Figure 4 we show the accuracy of the method by comparing the synthetic seismograms generated by the screen method (thick lines) with those calculated by a reflectivity method (thin lines) for a flat crustal model. The crust has a thickness of 32 km and a shear wave velocity of 3.5 km/s. The mantle beneath the crust has a shear velocity of 4.5 km/s. The source function is a Ricker wavelet with a dominant frequency of 1.0 Hz. Except for near vertical reflections, where one way wave equation methods have difficulty, the results show excellent agreement. For long distance regional waves, the contribution of near vertical reflections is negligible. Next, we show the accuracy of the method by comparing synthetic seismograms generated by this method with those generated by a finite difference algorithm (Xie and Lay, 1994). For the finite-difference method, a fourth-order elastic SH-wave code is used to calculate the synthetic seismograms. The spatial sampling interval is 0.125 *km* and the time interval is 0.015 *second*. For the screen method, the spatial sampling interval is 0.25 *km* in vertical direction and the screen interval is 1.0 *km*. A Gaussian derivative is used as the source time function for both methods. Because of the computational intensity of the finite difference method, we did the comparison at short propagation distances. Shown on the top of Figure 5 is the crust model used to calculate synthetic seismograms; on the bottom, synthetic seismograms along a vertical profile at an epicenter distance of 250 *km*. The thin lines are from the finite difference method and the thick lines are from the generalized screen method. The source is located at a depth of 2 *km*. Excellent agreement can be seen.

Figure 6 shows the snap shots from the Screen method at 50 *sec*. for flat, narrowing and broadening crustal waveguides (from top to bottom, respectively). The source is located at the top-left corner at depth 2 *km*. The development of mantle wave and head wave, and the formation of crust guided wave as multiple reflections between the free surface and Moho discontinuity can be clearly seen. For the inhomogeneous models, wave diffraction, leakage to the mantle, wavefront distortion and increase of wavefield complexity can be also seen clearly. From the comparison it is seen that the passage of narrow

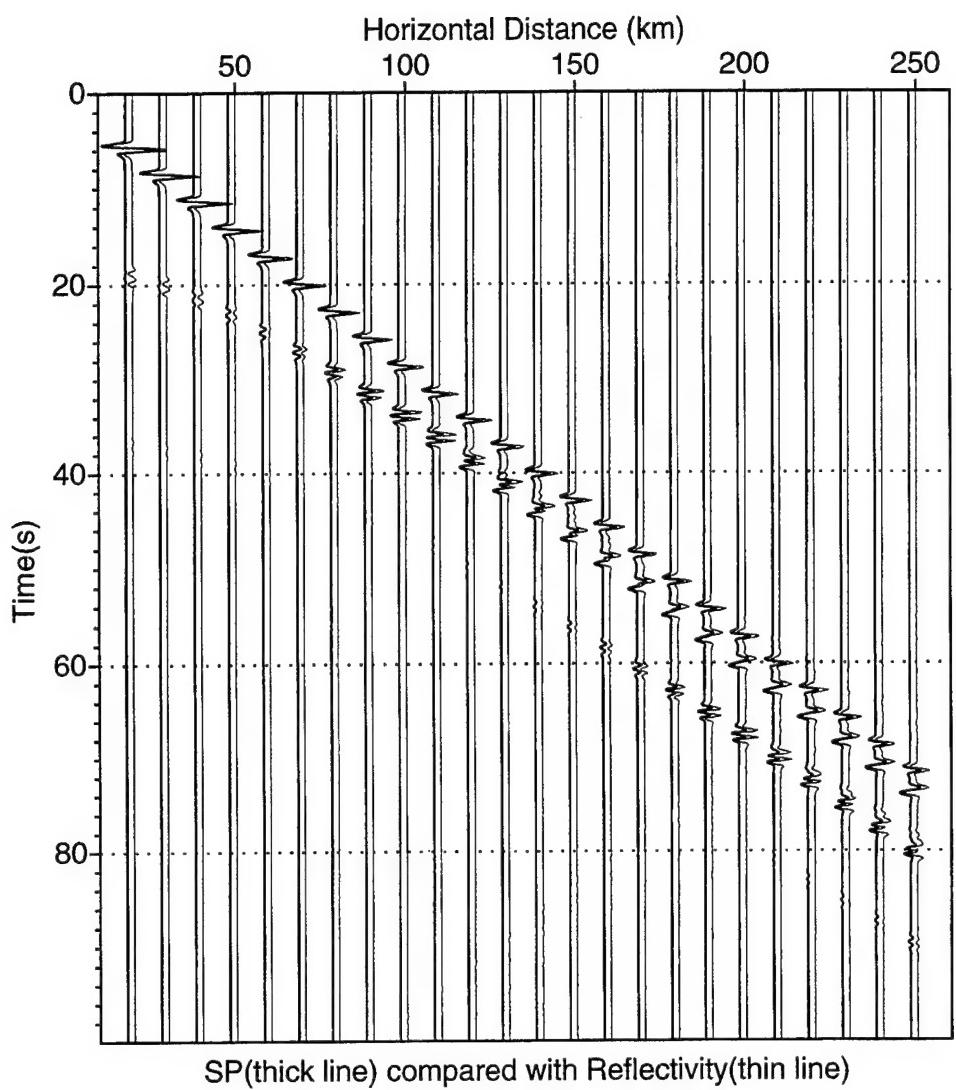


Figure 4: Comparison of synthetic seismograms along the surface calculated by the screen method and reflectivity method for a flat crustal model (32 km thick). The source function is a Ricker wavelet with dominant frequency of 1.0 Hz.

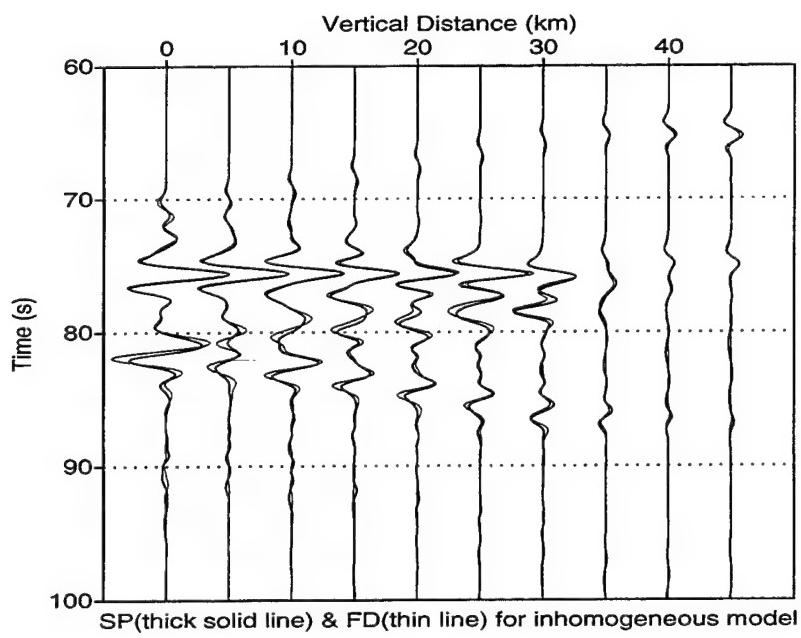
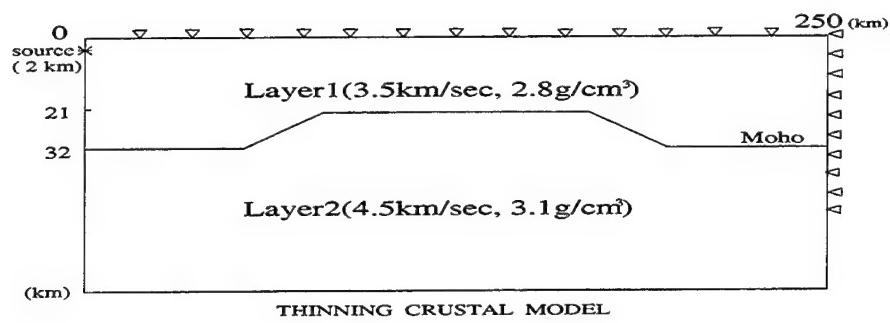


Figure 5: Comparison of synthetic seismograms along a vertical profile at the distance of 250 km calculated by the screen method (thick lines) and a finite-difference method (thin lines) for a laterally varying crustal model shown on the top panel.

## **Comparison of Wave Propagation in Various Crustal Wave Guides**

**( t = 50 sec )**

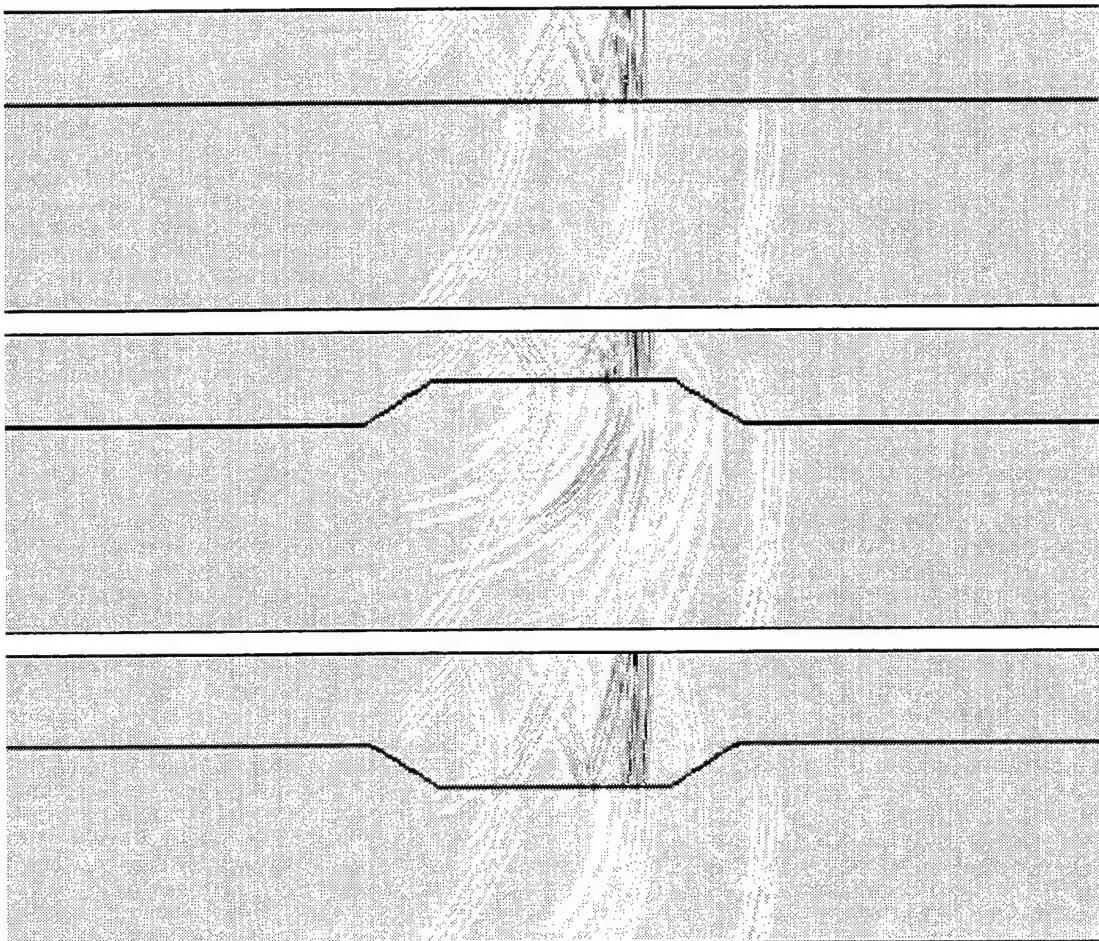


Figure 6: Snap shots at 50 sec. for various crustal waveguides.

crustal waveguide has greater effect on Lg leakage than the broad passage. In the latter case although the wavefronts are complicated due to scattering at the edges, most of the energy is still trapped in the crust, different from the case of a narrow passage in which a large percentage of energy leaks into the mantle. This example demonstrates the potential of the method as a tool for investigating the path effects of different crustal structures.

Figure 7 shows the synthetic seismograms by the screen method for the Flora-Asnes crust model in the NORSAR region. The parameters for this model are listed in Table 1. The model has a low velocity top layer 1 km thick and a velocity discontinuity at depth 15 km. The receivers are on the surface. A Ricker wavelet is used for this simulation with  $f_0 = 1 \text{ Hz}$ . Shown in the upper panel are short distances (up to 350 km); the lower panel shows long distances (up to 1000 km). In this case the Lg group is formed by multiple reflections of the Moho and the crustal discontinuities, complicated by the low velocity sedimental layer.

**Table 1: Flora-Asnes crust model**

thickness (km)	$V_s$ (km/s)	$\rho$ ( $\text{g}/\text{cm}^3$ )
1.00	3.00	2.60
14.00	3.46	2.80
22.00	3.76	3.00
infinity	4.65	3.30

The following example shows the potential capability of this method for long distance high-frequency synthetic seismograms in a laterally varying structure. Figure 8 shows the laterally varying crust model used in the calculation. Figure 9 shows the high-frequency synthetic seismograms on the surface at distances up to 1000 km. The center frequency is 5 Hz with the maximum frequency of 10 Hz. In comparison, the low-frequency ( $f_c = 1 \text{ Hz}$ ,  $f_{max} = 2 \text{ Hz}$ ) synthetic seismograms are shown in Figure 10. It is clear that without high-frequency content, many of the distinctive features associated with Lg measurements can not be adequately modeled. In other words, a proper simulation method with the capability to generate accurate high-frequency signals is a necessity for the purpose of investigating regional phases. The generalized screen method with its high efficiency serves well as an important element of the hybrid method.

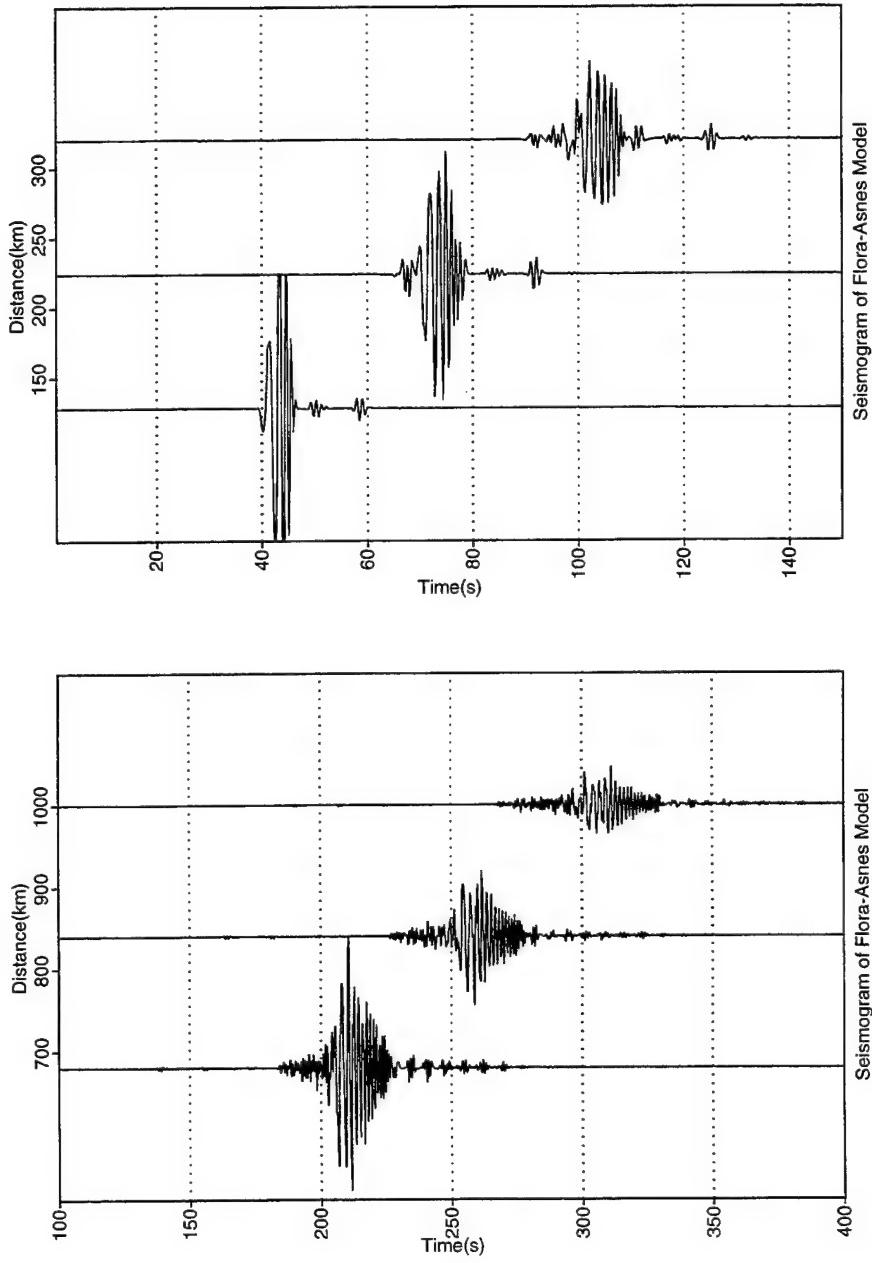
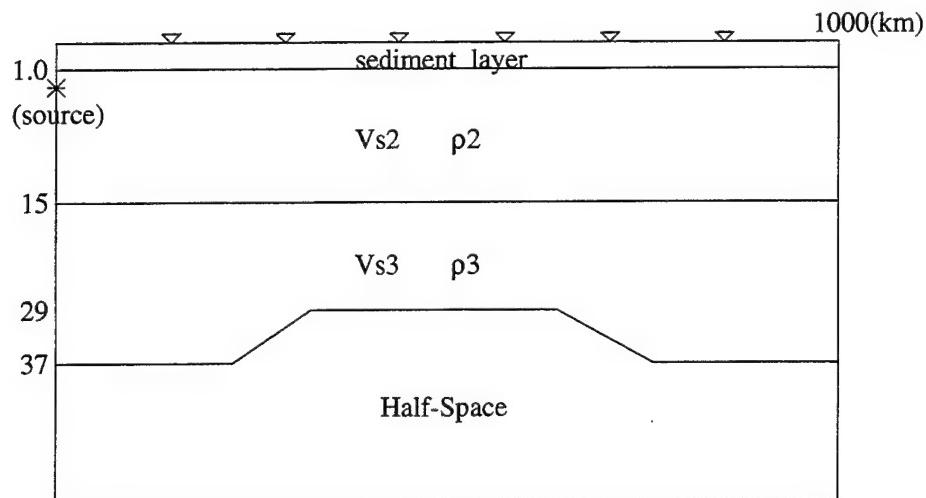


Figure 7: synthetic seismograms for the Flora-Asnes crust model in the NORSAR region. The parameters for this model are listed in Table 1.

### Parameters of Crustal Model

Layer	Vs(km/sec)	Density(g/cm )	Thickness(km)
1	3.00	2.60	1.00
2	3.46	2.80	14.00
3	3.76	3.00	22.00
4	4.65	3.30	Half-Space



### Crustal Model

Figure 8: An inhomogeneous crustal model used in the calculation of h-f synthetic seismograms. Shown in the upper panel are model parameters and the lower panel gives the geometry of the model. The receivers are on the surface and shown by triangles.

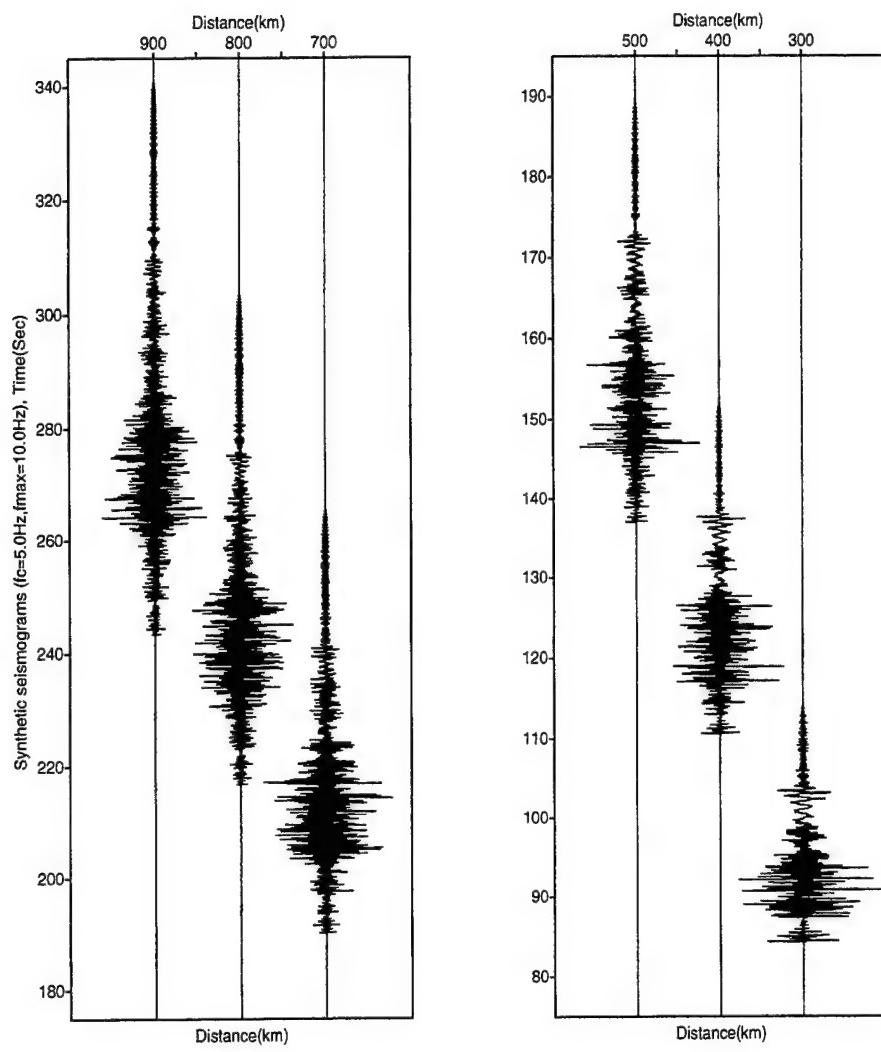


Figure 9: High-frequency ( $f_c = 5$  Hz,  $f_{max} = 10$  Hz) synthetic seismograms on the surface at distances up to 1000 km for an inhomogeneous crustal waveguide (Figure 8).

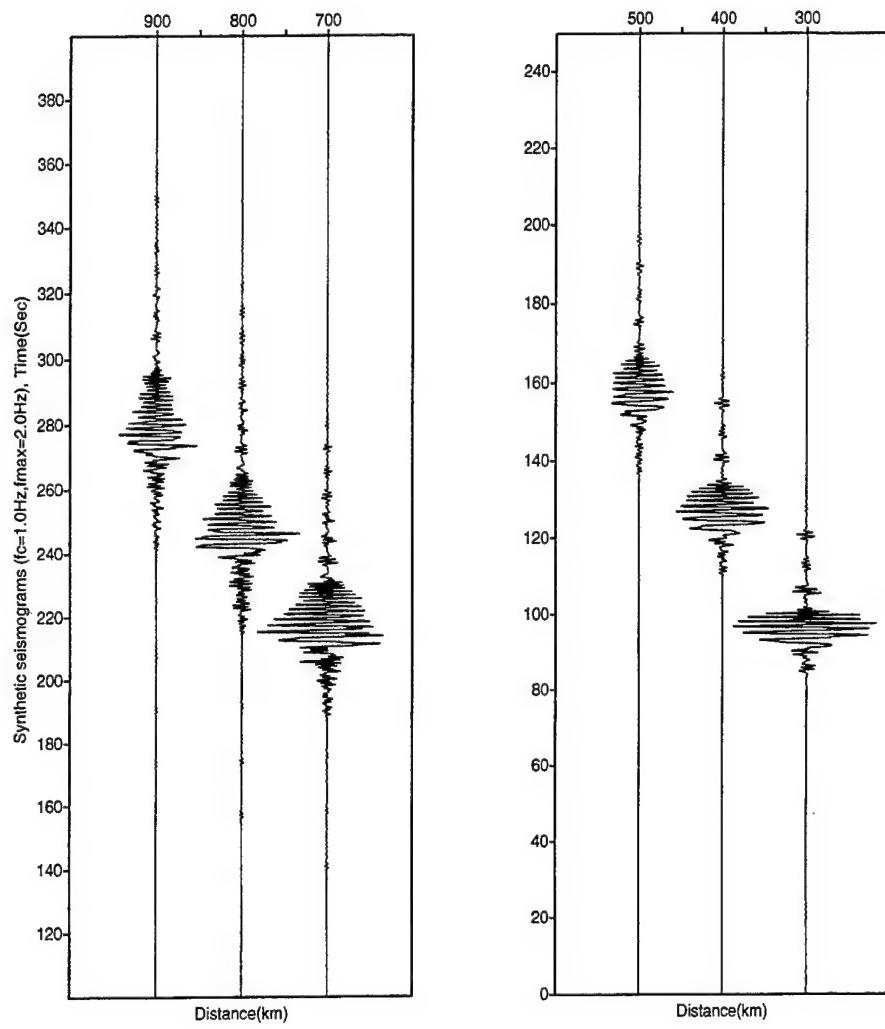


Figure 10: low-frequency ( $f_c = 1$  Hz,  $f_{max} = 2$  Hz) synthetic seismograms on the surface at distances up to 1000 km for an inhomogeneous crustal waveguide (Figure 8).

## 4.2 Numerical Test for BIE Method

To test the validity of our hybrid method, we consider a trivial case: a laterally homogeneous layered model. This problem can be fully solved by reflectivity method. To test our algorithm, we use our hybrid method to synthesize the seismograms, then check the results with the reflectivity method. The test model is a single layer crustal model. The velocities and densities of the crust and mantle are  $3.5 \text{ km/sec}$ ,  $2.8 \text{ g/cm}^3$ ,  $4.5 \text{ km/sec}$  and  $3.2 \text{ g/cm}^3$ , respectively. The thickness of the crust is  $32 \text{ km}$ , seismic source is buried at  $z_s=2 \text{ km}$  and  $x_s=0 \text{ km}$ . Receiver is placed at  $z_0=0 \text{ km}$  and  $x_0=250 \text{ km}$ . The connection boundary is located at  $x=150 \text{ km}$ . The synthetic seismogram of reflectivity method is plotted in Figure 11a and the synthetic seismogram from GGRTM is shown in Figure 11b. Comparison of these two seismograms shows an excellent agreement, confirming the validity of the connection scheme for our hybrid method.

The computer code for calculating general irregular media is under development at this stage, and expected to be finished soon. We will then calculate synthetic Lg waves propagating through an arbitrarily irregular layered medium to study the influence of surface topography and interface irregularities.

## 5 Conclusion and Discussions

We have derived the connection formulas for our hybrid scheme and its validity has been proved by numerical tests. Both generalized screen method and boundary integral equation method have been tested for the waveguide environment. The algorithm for seismogram synthesis in arbitrarily irregular layered media is under development. We will also study the approximation involved in the so-called Rayleigh Ansatz method, or the Aki-Larner method (Aki and Larner, 1970). Aki-Larner method is a wavenumber domain implemented and approximated BIE method. It is much faster than the strict BIE method and therefore can simulate large 3D topography and interface problems. Horike et al. (1990) has applied the method to non-axisymmetric 3D surface structure problems. We will test the accuracy and speed of AL method by comparing it with the strict BIE method (such as Chen's GGRTM method) and incorporate the approximation into our hybrid method.

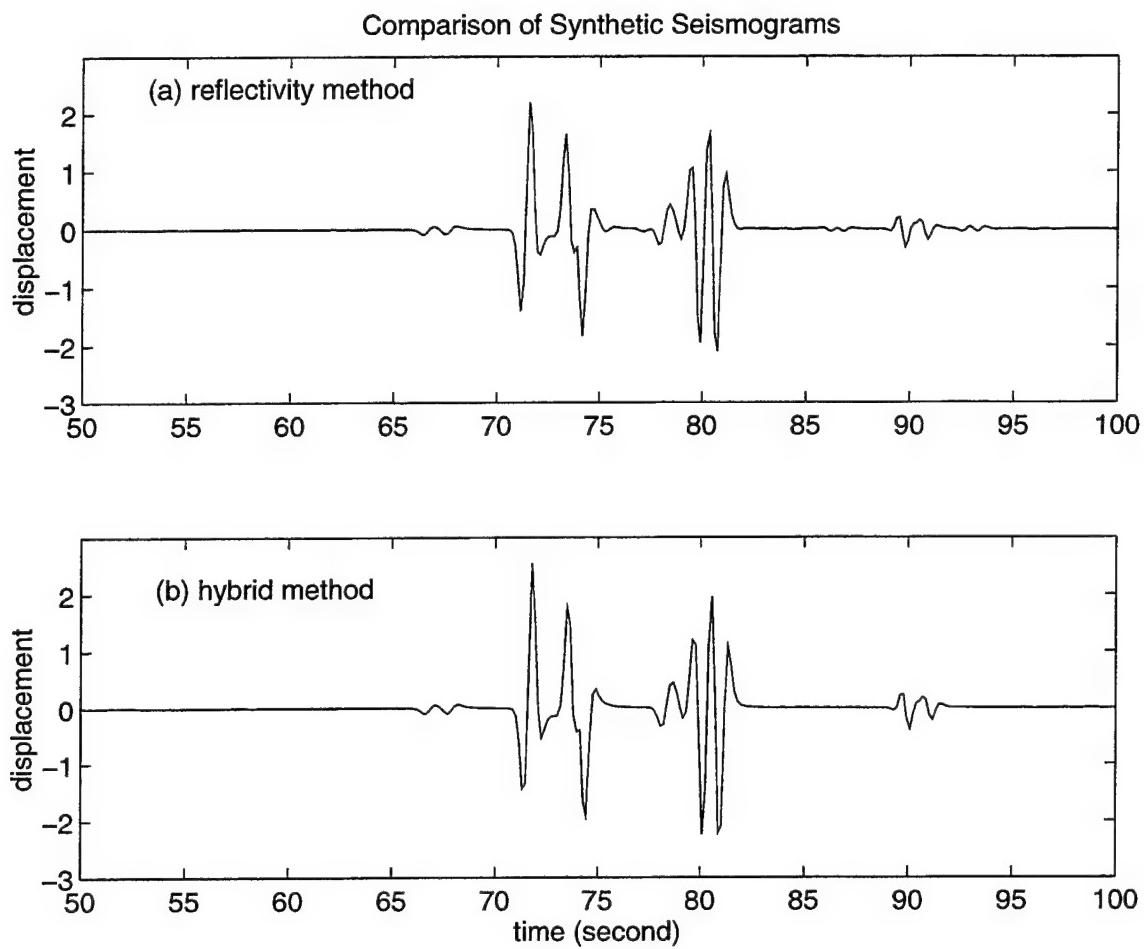


Figure 11: Comparison of synthetic seismograms for a laterally homogeneous layered crustal model. A: synthetic seismogram from a reflectivity method, and B: synthetic seismogram from the hybrid method.

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